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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/940,340
		Filing Date	August 27, 2001
		First Named Inventor	BARDY, Gust H
		Group Art Unit	3762
		Examiner Name	SCHAETZLE, Kennedy
Total Number of Pages in This Submission	3000+	Attorney Docket Number	4441-0010

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard;
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WEISZ, Tiberiu Reg No 29,876
Signature	
Date	November 10, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450: **11.12.2003**

Typed or printed name	HUNTER, Belinda J
Signature	
Date	November 12, 2003

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Application No. 09/940,340  
IDS dated: November 10, 2003  
Attorney Docket No: 4441-0010

#8  
B. Webb  
11/21/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First-Named Inventor : BARDY, Gust H  
Serial No. : 09/940,340  
Filing Date : August 27, 2001  
Title : SUBCUTANEOUS ELECTRODE FOR TRANSTHORACIC CONDUCTION WITH LOW-PROFILE INSTALLATION APPENDAGE AND METHOD OF DOING SAME  
Customer No. : 22440  
Confirmation No. :  
Group Art Unit : 3762  
Examiner : SCHAETZLE, Kennedy

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Commissioner for Patent  
PO Box 1450  
Alexandria, VA 22313-01450

**CERTIFICATE OF MAIL UNDER 37 CFR §1.8(a)**

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\_\_\_\_\_  
HUNTER, Belinda J.

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR §§1.56, 1.97 AND 1.98**

SIR:

Pursuant to the duty disclosure under 37 CFR §§1.56, 1.97 and 1.98, applicant submits herewith an *Information Disclosure Statement* along with the *List of Cited References* (substitute Form PTO-1449).

References A1 – A29 on the enclosed substitute Form PTO-1449 are incorporated by reference within the specification of the subject patent application. For the Examiner's

Application No. 09/940,340  
IDS dated: November 10, 2003  
Attorney Docket No: 4441-0010

convenience, applicant has indicated on said form the page numbers and line numbers that correspond to the specification.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

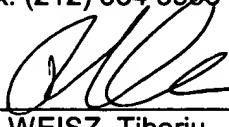
Consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined, however, that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: November 10, 2003  
New York, New York

Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN PC  
Attorneys for Applicant  
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New York, New York 10016-0601  
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By: 

WEISZ, Tiberiu  
Reg. No. 29,876

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Application No. 09/940,340  
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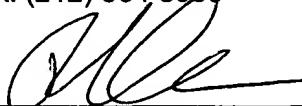
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Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN PC  
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By:

  
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Substitute for form PTO-1449  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Sheet: 1 of 10

Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy  
Attorney Docket No: 4441-0010

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US PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number with kind code if known	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance
A1	US- 09/663,606	09.18.2000	Bardy	Specification Page 1, Line 10-12 (now US-Patent 6,647,292 issued 11.11.2003)	
A2	US- 09/663,607	09.18.2000	Bardy	Specification Page 1, Line 13-15. (pending)	
A3	US- 2002/0035376	03.21.2002	Bardy	Specification Page 2, Line 22-23	
A4	US- 2002/0035377	03.21.2002	Bardy	Specification Page 2, Line 10-11	
A5	US- 2002/0035378	03.21.2002	Bardy	Specification Page 2, Line 8-10	
A6	US- 2002/0035379	03.21.2002	Bardy et al	Specification Page 2, Line 1-2	
A7	US- 2002/0035380	03.21.2002	Rissman	Specification Page 2, Line 24-25	
A8	US- 2002/0042629	04.11.2002	Bardy	Specification Page 2, Line 18-19	
A9	US- 2002/0042630	04.11.2002	Bardy	Specification Page 2, Line 14-15	
A10	US- 2002/0042634	04.11.2002	Kruesser	Specification Page 1, Line 22-23	
A11	US- 2002/0049475	04.25.2002	Bardy	Specification Page 2, Line 12-14	
A12	US- 2002/0049476	04.25.2002	Bardy	Specification Page 2, Line 19-21	
A13	US- 2002/0068958	06.06.2002	Bardy	Specification Page 2, Line 16-17	
A14	US- 2002/0072773	06.13.2002	Bardy	Specification Page 1, Line 20-21	
A15	US- 2002/0107549	08.08.2002	Bardy	Specification Page 2, Line 2-4	
A16	US- 4,450,527	05.22.1984	Sramek	Specification Page 21, Line 13	
A17	US- 4,567,900	02.04.1986	Moore	Specification Page 3, Line 24	
A18	US- 4,603,705	08.05.1986	Speicher	Specification Page 3, Line 18	
A19	US- 4,693,253	09.15.1987	Adams	Specification Page 3, Line 19, Page 18, Line 10	
A20	US- 4,944,300	07.31.1990	Saksena	Specification Page 3, Line 19	
A21	US- 5,105,810	04.21.1992	Collins	Specification Page 3, Line 19, Page 18, Line 10	
A22	US- 5,405,363	04.11.1995	Kroll et al	Specification Page 22, Line 12	
A23	US- 5,423,326	06.13.1995	Wang et al	Specification Page 21, Line 13	
A24	US- 5,476,503	12.19.1995	Yang	Specification Page 3, Line 26	
A25	US- 5,597,956	01.28.1997	Ito et al	Specification Page 22, Line 12	
A26	US- 5,618,287	04.08.1987	Fogarty	Specification Page 3, Line 24	

Examiner Signature:

Date Considered:

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Substitute for form PTO-1449  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

Sheet: 2 of 10

Application Number: 09/940,340  
 Filing Date: August 27, 2001  
 First-Named Inventor: BARDY, Gust H  
 Group Art Unit: 3762  
 Examiner Name: SCHAETZLE, Kennedy  
 Attorney Docket No: 4441-0010

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**US PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number with kind code if known	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance
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A28	US- 5,658,321		08.19.1997	Fayram	Specification Page 4, Line 23
A29	US- 6,095,987		08.01.2000	Shmulewitz et al	Specification Page 21, Line 13
AA	US- H1905 H		10.03.2000	Hill	
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AC	US- 3,653,387		04.04.1972	Ceier	ISR PCT/IB02/04514
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AS	US- 5,109,842 A		05.05.1992	Adinolfi	ISR PCT/IB02/04475
AT	US- 5,129,392 A		07.14.1992	Bardy et al	Specification Page 19, Line 8 IPER PCT/US01/29168 ISR PCT/IB02/04513
AU	US- 5,133,353 A		07.28.1992	Hauser	Specification Page 4, Line 22
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/BB	US- 5,261,400 A		11.16.1993	Bardy	Specification Page 4, Line 22
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/BD	US- 5,331,966 A		07.26.1994	Bennett et al	IPER PCT/US01/29168
/BE	US- 5,342,407 A		08.30.1994	Dahl et al	Specification Page 4, Line 1
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BG	US- 5,376,103 A		12.27.1994	Anderson et al	

Examiner  
Signature:*K Schaezle*Date  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet: 3 of 10

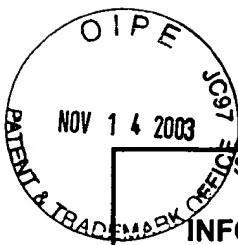
Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHÄTZLE, Kennedy  
Attorney Docket No: 4441-0010

**US PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number with kind code if known	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance
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BU	US- 5,643,328 A		07.01.1997	Cooke et al	
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Examiner Signature:  
*K.Schätzle*

Date Considered: 1-11-04



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STATEMENT BY APPLICANT**

Sheet: 4 of 10

Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy  
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**US PATENT DOCUMENTS**

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					IPER PCT/IB02/03467 IPER PCT/IB02/03452 Written Opinion PCT/IB02/03452 Written Opinion PCT/IB02/03453 Written Opinion PCT/IB02/03467 Written Opinion PCT/IB02/03469 Written Opinion PCT/IB02/03484 Written Opinion PCT/IB02/03488
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CJ	US- 5,925,069 A	07.20.1999	Herber et al	IPER PCT/IB02/03488 IPER PCT/IB02/03484 IPER PCT/IB02/03467 IPER PCT/IB02/03452 Written Opinion PCT/IB02/03452 Written Opinion PCT/IB02/03467 Written Opinion PCT/IB02/03484 Written Opinion PCT/IB02/03488	
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CL	US- 5,941,904 A	08.24.1999	Johnston et al	ISR PCT/IB02/04475	
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CP	US- 6,093,173 A	05.02.2000	Balceta et al	IPER PCT/US01/29106	
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CR	US- 6,144,866 A	11.07.2000	Miesel et al	ISR PCT/IB02/04475	
CS	US- 6,185,450 B1	02.06.2001	Seguine et al	ISR PCT/IB02/04476 ISR PCT/IB02/04514	
CT	US- 6,411,844 B1	06.25.2002	Kroll et al	ISR PCT/IB02/04514	

Examiner  
Signature:

Date  
Considered:

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Sheet: 5 of 10

Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy  
Attorney Docket No: 4441-0010

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number with kind code	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance	T <sup>6</sup>
CU	DE 298 01 807 U1		06.04.1998	Mathar	IPER PCT/IB02/03525 Written Opinion PCT/IB02/03525	
CV	EP 0 095 727 A1		12.07.1983	Tacker et al		
CW	EP 0 316 616 A2		05.24.1989	Pexa	ISR PCT/IB03/04454	Y
CX	EP 0 316 616 A3		05.24.1989	Pexa	ISR PCT/IB03/04454	Y
CY	EP 0 347 353 A1		12.20.1989	Cansell	ISR PCT/US03/10666	Y
CZ	EP 0 517 494 A3		12.09.1992	Dahl et al	IPER PCT/US01/29168	
DA	EP 0 517 494 B1		12.09.1992	Dahl et al	IPER PCT/US01/29168	
DB	EP 0 518 599 A2		12.16.1992	Stephens et al	ISR PCT/IB03/04543	
DC	EP 0 518 599 B1		12.16.1992	Stephens et al	ISR PCT/IB03/04543 ISR PCT/IB03/04498	
DD	EP 0 536 873 B1		04.14.1993	Weiss et al	ISR PCT/IB02/04513	
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DF	EP 0 641 573 A2		03.08.1995	Pless	ISR PCT/IB02/04476	
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DH	EP 0 627 237 A1		12.07.1994	Trabucco et al		Y
DI	EP 0 677 301 A1		10.16.1995	Ekwall et al	ISR PCT/IB02/04515	
DJ	EP 0 917 887 A1		05.26.1999	Strandberg	ISR PCT/IB03/04454	
DK	EP 0 923 130 A1		06.16.1999	van Campenhout	ISR PCT/IB02/04497	Y
DL	EP 1 000 634 A1		05.17.2000	Geistert et al	ISR PCT/IB02/04475	Y
DN	WO 93/19809 A1		10.14.1993	Kroll et al	ISR PCT/IB03/04490 ISR PCT/IB03/04507	
DO	WO 97/29802 A2		08.21.1997	Richmond et al		
DP	WO 98/25349 A1		06.11.1998	Nolan et al	ISR PCT/IB03/04454	
DQ	WO 99/03534 A1		01.28.1999	Schuler et al	ISR PCT/IB03/04498 ISR PCT/IB03/04507	
DR	WO 99/37362 A1		07.29.1999	Zeijlemaker	ISR PCT/IB02/04513	
DS	WO 99/53991 A1		10.28.1999	Peery	IPER PCT/US01/29168 IPER PCT/US01/29106	
DT	WO 00/41766 B1		07.20.2000	Mower	ISR PCT/IB03/04507	
DU	WO 00/50120 A1		08.31.2000	Magnussen		
DV	WO 01/43649 A1		06.21.2001	Fogarty	IPER PCT/IB02/03447 Written Opinion PCT/IB02/03447	
DW	WO 01/56166 A2		08.02.2001	Eichfeld et al	ISR PCT/IB03/04454	
DX	WO 02/068046 A1		09.06.2002	McMahon	ISR PCT/IB02/04514	
DY	WO 02/22208 A2		03.21.2002	Cappato et al	ISR PCT/IB03/04546	
DZ	WO 02/22208 A3		03.21.2002	Cappato et al	ISR PCT/IB03/04546	
EA	WO 02/24275 A2		03.21.2002	Cappato et al	ISR PCT/IB03/04516	
EB	WO 02/24275 A3		03.21.2002	Cappato et al	ISR PCT/IB03/04516	

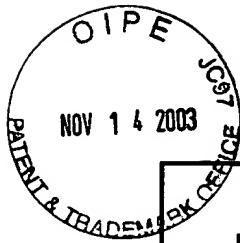
Examiner Signature:

*K. Schatzle*

Date

Considered:

1-11-04



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Substitute for form PTO-1449  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet: 6 of 10

Application Number: 09/940,340 Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy Attorney Docket No: 4441-0010

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number with kind code	Publication Date MM-DD-YYYY	Name of Patentee/Applicant	Comments on Relevance	T <sup>6</sup>
EO	WO 03/018121 A2	03.06.2003	Engmark et al	ISR PCT/IB02/04497		

**OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T <sup>2</sup>
	ED	International Search Report dated 03.26.2002, PCT/US01/29168 filed 09.14.2001, published as WO 02/22208 on 03.21.2002, "Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: Bardy et al	
	EE	International Search Report dated 03.21.2002, PCT/US01/29106 filed 09.14.2001, published as WO 02/24275 on 03.28.2002, "Unitary Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: Bardy et al	
	EF	International Search Report dated 02.14.2003, PCT/IB02/03452 filed 08.23.2002; published as WO 03/018119 on 03.06.2003, "Insulated Shell for Subscutaneously Implantable Cardioverter-Defibrillator Canister", Inventors" Bardy et al	
	EG	International Search Report dated 02.14.2003, PCT/IB02/03453 filed 08.23.2002; published as WO 03/018122 on 03.06.2003, "Duckbill-Shaped Implantable Cardioverter-Defibrillator Canister and Method of Use", Inventors: Bardy et al	
	EH	International Search Report dated 02.14.2003, PCT/IB02/03467 filed 08.26.2002; published as WO 03/018123 on 03.06.2003, "Canister Designs for Implantable Cardioverter-Defibrillators", Inventors: Bardy et al	
	EI	International Search Report dated 02.14.2003, PCT/IB02/03469 filed 08.26.2002; published as WO 03/018124 on 03.06.2003, "Biphasic Waveform for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
	EJ	International Search Report dated 02.14.2003, PCT/IB02/03484 filed 08.26.2002; published as WO 03/018126 on 03.06.2003, "Cardioverter-Defibrillator having a Focused Shocking Area and Orientation Thereof", Inventors: Bardy et al	
	EK	International Search Report dated 02.14.2003, PCT/IB02/03488 filed 08.26.2002; published as WO 03/018120 on 03.06.2003, "Curved Implantable Cardioverter-Defibrillator Canister", Inventors: Bardy et al	
	EL	International Search Report dated 02.14.2003, PCT/IB02/03522 filed 08.26.2002; published as WO 03/018129 on 03.06.2003, "Biphasic Waveform for Anti-Bradycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
	EM	International Search Report dated 02.18.2003, PCT/IB02/03503 filed 08.26.2002; published as WO 03/018128 on 03.06.2003, "Power Supply for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
	EN	International Search Report dated 02.20.2003, PCT/IB02/03447 filed 08.27.2002; published as WO 03/018110 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Improved Installation Characteristics", Inventors: Bardy et al	
	EO	International Search Report dated 02.20.2003, PCT/IB02/03481 filed 08.28.2002; published as WO 03/018111 on 03.06.2003, "Subcutaneous Electrode with Improved Contact Shape for Transthoracic Conduction", Inventors: Bardy et al	
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	EQ	International Search Report dated 02.20.2003, PCT/IB02/03502 filed 08.23.2002; published as WO 03/018127 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Insertion Tool", Inventors: Bardy et al	

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*K. Schatzle*

Date Considered: 1-11-04



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet: 7 of 10

Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy  
Attorney Docket No: 4441-0010

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T <sup>2</sup>
ER		International Search Report dated 02.20.2003, PCT/IB02/03525 filed 08.23.2002; published as WO 03/018130 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Highly Maneuverable Insertion Tool", Inventors: Bardy et al	
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ET		International Search Report dated 03.28.2003, PCT/IB03/04454 filed 10.28.2002, published as WO 03/041278 on 05.15.2003, "Low Power A/D Converter", Inventors: Ostroff	
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EV		International Search Report dated 04.16.2003, PCT/IB03/04490 filed 10.28.2002, published as WO 03/039665 on 05.15.2003, "Monophasic Waveform for Anti-Tachycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Cappato	
EW		International Search Report dated 04.16.2003, PCT/IB03/04498 filed 10.28.2002, published as WO 03/039666 on 05.15.2002, "Current Waveforms for Anti-Bradycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Ostroff et al	
EX		International Search Report dated 04.16.2003, PCT/IB03/04507 filed 10.28.2002, published as WO 03/039667 on 05.15.2003, "Current Waveforms for Anti-Tachycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Ostroff et al	
EY		International Search Report dated 04.16.2003, PCT/IB03/04516 filed 10.28.2002, published as WO 03/039668 on 05.15.2003, "Flexible Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Ostroff et al	
EZ		International Search Report dated 04.16.2003, PCT/IB03/04543 filed 10.28.2002, published as WO 03/039669 on 05.15.2003, "Monophasic Waveform for Anti-Bradycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
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FB		International Search Report dated 04.23.2003, PCT/IB02/04515 filed 10.28.2002, published as WO 03/039651 on 05.15.2003, "Subcutaneous Implantable Cardioverter-Defibrillator Employing A Telescoping Lead", Inventors: Erlinger et al	
FC		International Search Report dated 04.25.2003, PCT/IB02/04475 filed 10.28.2002, published as WO 03/039647 on 05.15.2003, "Optional Use of a Lead For a Unitary Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
FD		International Search Report dated 05.08.2003, PCT/IB02/04514 filed 10.28.2002, published as WO 03/039650 on 05.15.2003, "H-Bridge With Sensing Circuit", Inventors: Rissman et al	
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FG		International Preliminary Examination Report dated 11.20.2002; PCT/US01/29168 filed 09.14.2001, published as WO 02/22208 on 03.21.2002, "Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: BARDY et al;	

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Date  
Considered: 1-11-04



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet: 8 of 10

Application Number: 09/940,340  
 Filing Date: August 27, 2001  
 First-Named Inventor: BARDY, Gust H  
 Group Art Unit: 3762  
 Examiner Name: SCHAETZLE, Kennedy  
 Attorney Docket No: 4441-0010

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T <sup>2</sup>
FH		International Preliminary Examination Report dated 12.18.2002, PCT/US01/29106 filed 09.14.2001, published as WO 02/24275 on 03.28.2002, "Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: BARDY et al	
FI		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03447 filed 08.27.2002; published as WO 03/018110 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Improved Installation Characteristics", Inventors: Bardy et al	
FJ		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03452 filed 08.23.2002; published as WO 03/018119 on 03.06.2003, "Insulated Shell for Subscutaneously Implantable Cardioverter-Defibrillator Canister", Inventors" Bardy et al	
FK		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03453 filed 08.23.2002; published as WO 03/018122 on 03.06.2003, "Duckbill-Shaped Implantable Cardioverter-Defibrillator Canister and Method of Use", Inventors: Bardy et al	
FL		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03467 filed 08.26.2002; published as WO 03/018123 on 03.06.2003, "Canister Designs for Implantable Cardioverter-Defibrillators", Inventors: Bardy et al	
FM		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03469 filed 08.26.2002; published as WO 03/018124 on 03.06.2003, "Biphasic Waveform for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
FN		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03484 filed 08.26.2002; published as WO 03/018126 on 03.06.2003, "Cardioverter-Defibrillator having a Focused Shocking Area and Orientation Thereof", Inventors: Bardy et al	
FO		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03501 filed 08.26.2002; published as WO 03/018112 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Low-Profile Installation Appendage and Method of Doing Same", Inventors" Bardy et al	
FP		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03502 filed 08.23.2002; published as WO 03/018127 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Insertion Tool", Inventors: Bardy et al	
FQ		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03503 filed 08.26.2002; published as WO 03/018128 on 03.06.2003, "Power Supply for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
FR		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03522 filed 08.26.2002; published as WO 03/018129 on 03.06.2003, "Biphasic Waveform for Anti-Bradycardia Pacing for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al	
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FT		International Preliminary Examination Report dated 09.02.2003, PCT/IB02/03488 filed 08.26.2002, published as WO 03/018120 on 03.06.2003, "Curved Implantable Cardioverter-Defibrillator Canister", Inventors: Bardy et al	
FU		Written Opinion dated 09.10.2002, PCT/US01/29168 filed 09.14.2001, published as WO 02/22208 on 03.21.2002, "Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: Gust H BARDY et al	
FV		Written Opinion dated 09.03.2002, PCT/US01/29106 filed 09.14.2001, published as WO 02/24275 on 03.28.2002, "Unitary Subcutaneous Only Implantable Cardioverter Defibrillator & Optional Pacer", Inventors: BARDY et al	

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1-11-04



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Substitute for form PTO-1449		Application Number: 09/940,340
INFORMATION DISCLOSURE		Filing Date: August 27, 2001
STATEMENT BY APPLICANT		First-Named Inventor: BARDY, Gust H
Sheet: 9 of 10		Group Art Unit: 3762
		Examiner Name: SCHAETZLE, Kennedy
		Attorney Docket No: 4441-0010

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information
	FW	Written Opinion dated 05.12.2003, PCT/IB02/03447 filed 08.27.2002; published as WO 03/018110 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Improved Installation Characteristics", Inventors: Bardy et al
	FX	Written Opinion dated 05.12.2003, PCT/IB02/03452 filed 08.23.2002; published as WO 03/018119 on 03.06.2003, "Insulated Shell for Subscutaneously Implantable Cardioverter-Defibrillator Canister", Inventors" Bardy et al
	FY	Written Opinion dated 05.12.2003, PCT/IB02/03453 filed 08.23.2002; published as WO 03/018122 on 03.06.2003, "Duckbill-Shaped Implantable Cardioverter-Defibrillator Canister and Method of Use", Inventors: Bardy et al
	FZ	Written Opinion dated 05.12.2003, PCT/IB02/03467 filed 08.26.2002; published as WO 03/018123 on 03.06.2003, "Canister Designs for Implantable Cardioverter-Defibrillators", Inventors, Bardy et al
	GA	Written Opinion dated 05.12.2003, PCT/IB02/03468 filed 08.26.2002; published as WO 03/018124 on 03.06.2003, "Biphasic Waveform for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al
	GB	Written Opinion dated 05.12.2003, PCT/IB02/03484 filed 08.26.2002; published as WO 03/018126 on 03.06.2003, "Cardioverter-Defibrillator having a Focused Shocking Area and Orientation Thereof", Inventors: Bardy et al
	GC	Written Opinion dated 05.12.2003, PCT/IB02/03501 filed 08.26.2002; published as WO 03/018112 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Low-Profile Installation Appendage and Method of Doing Same", Inventors" Bardy et al
	GD	Written Opinion dated 05.12.2003, PCT/IB02/03502 filed 08.23.2002; published as WO 03/018127 on 03.06.2003, "Subcutaneous Electrode for Transthoracic Conduction with Insertion Tool", Inventors: Bardy et al
	GE	Written Opinion dated 05.12.2003, PCT/IB02/03503 filed 08.26.2002; published as WO 03/018128 on 03.06.2003, "Power Supply for a Subcutaneous Implantable Cardioverter-Defibrillator", Inventors: Bardy et al
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	GI	Journal of the American Medical Association (JAMA), Vol 214, No 6, 1123pp, Nov 9, 1970, "Completely Implanted Defibrillator", an editorial comment by JC SCHUDER PhD,
	GJ	Amer Soc Trans Artif Int Organs, Vol XVI, 1970, 207-212pp, "Experimental Ventricular Defibrillation With An Automatic & Completely Implanted System", by JC SCHUDER PhD et al
	GK	Archives of Internal Medicine (Specialized Journal of the AMA), Vol 127, Feb 1971, Letters to the Editor 317pp, "Standby Implanted Defibrillators", an editorial comment by JC SCHUDER PhD
	GL	Journal of the American Medical Association (JAMA), Vol 213, 615-616pp, 1970, "Automatic Detection & Defibrillation of Lethal Arrhythmias-A New Concept", by MIRKOWSKI et al

Examiner Signature:	K. Schatzle	Date Considered:	1-11-04
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet: 10 of 10

Application Number: 09/940,340  
Filing Date: August 27, 2001  
First-Named Inventor: BARDY, Gust H  
Group Art Unit: 3762  
Examiner Name: SCHAETZLE, Kennedy  
Attorney Docket No: 4441-0010

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, symposium, etc), date, page(s), volume-issue, all citation information	T <sup>2</sup>
GM		IEEE Transactions on Bio-Medical Engineering, Vol BME-18, No 6, Nov 1971, 410-415pp, "Transthoracic Ventricular Defibrillation In The Dog With Truncated and Untruncated Exponential Stimuli", by JC SCHUDER PhD et al	
GN		IEEE Engineering in Medicine & Biology Society Magazine – Proceedings of the Annual International Conference of the IEEE Orlando, FL, copyright 1991, Vol 13, 1674-1676 pp, "Analog to Digital Conversion Techniques in Implantable Devices", by RA WALTERS et al Comments on Relevance: ISR PCT/IB03/04454	
GO		PACE, Vol 16, Part I, Jan 1993, 95-124pp, "The Role Of An Engineering Oriented Medical Research Group In Developing Improved Methods & Devices For Achieving Ventricular Defibrillation: The University Of Missouri Experience", by JC SCHUDER PhD	
GP		Journal of Cardiovascular Electrophysiology, Vol 12, No 3, March 2001, 356-360pp, Copyright 2001, by Future Publishing Company Inc, Armonk-NY 1050-0418, "Nonthoracotomy Implantable Cardioverter Defibrillator Placement In Children", by Rainer GRADAUS MD et al	
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GR		Springer-Verlag, Berlin-Germany, copyright 1991, 784-786pp, "Halbleiter-Schaltungstechnik", by U. TIETZE and Ch. SCHEINK Comments on Relevance: ISR PCT/IB03/04454	Y
GS		"Onset & Stability for Ventricular Tachyarrhythmia Detection in an Implantable Pacer-Cardioverter-Defibrillator", IEEE Computers in Cardiology, 1987, Vol 0276-6574, 167-170pp, by Walter H OLSON et al; Comments on Relevance: Specification Page 19, Line 17-20	

Examiner  
Signature:

Date  
Considered:

1-11-04